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### <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

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In re Applicant:

Shimon EDELMAN et al

Serial No.:

10/566,480

Filed:

September 08, 2006

For: Method and Apparatus for Learning,

Recognizing And Generalizing Sequences

Group Art Unit: 2161

Attorney

Docket: 31304

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the official Filing Receipt received from the United States Patent and Trademark Office in the above application.

Please correct the "Domestic Priority data as claimed by applicant" as follows:

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IL04/00704 08/01/2004

which claims benefit of 60/491,235 07/31/03 and claims benefit of 60/510,553 10/14/03

Applicants wish to point out that Application No. 60/491,235, filed July 31, 2003 and Application No. 60/510,553, filed October 14, 2003 have been omitted from the "Domestic Priority data as claimed by applicant" section.

A copy of the first page of the Specification as amended by a Preliminary Amendment filed January 29, 2006, setting forth the above, is attached hereto as well as the executed Declaration and Power of Attorney form and Application Data Sheet.

Issuance of a corrected Filing Receipt to correct an error of the USPTO is respectfully requested.

Respectfully submitted,

Martin D. Moynihan,

Registration No. 40,338

Date: September 25, 2007

### In the Specification:

Please insert at Page 1, line 3, the following paragraph:

### **RELATED APPLICATIONS**

This application is a National Phase Application of PCT Application No. PCT/IL2004/000704 having International Filing Date of August 1, 2004, which claims priority from U.S. Provisional Patent Application No. 60/491,235, filed on July 31, 2003, and U.S. Provisional Patent Application No. 60/510,553, filed on October 14, 2003. The contents of the above Applications are all incorporated herein by reference.



# **Application Information**

Application number:: Not Yet Assigned

Filing Date:: Herewith

Application Type:: Regular

Subject Matter:: Utility

CD-ROM or CD-R?:: None

Title:: Method And Apparatus For Learning,

Recognizing And Generalizing

Sequences

Attorney Docket Number:: 31304

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure::

Total Drawing Sheets:: 18

Small Entity?:: Yes

Petition included?:: Yes

Petition Type:: CFR

Secrecy Order in Parent Appl.?:: No

## **Applicant Information**

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## **Representative Information**

Representative	Registration Number::	Representative Name::
Designation::		
Primary	40,338	Martin D. Moynihan

# **Domestic Priority Information**

Application::	Continuity	Parent	Parent Filing
	Type::	Application::	Date::
This application	National Stage of	PCT/IL2004/000704	08/01/04
This application	An application claiming the benefit under 35 USC 119(e)	60/491,235	07/31/03
This application	An application claiming the benefit under 35 USC 119(e)	60/510,553	10/14/03

[This application has no foreign priorities]

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Alexandra, Viginia 22313-1450 www.uspto.gov

**FILING OR 371** APPL NO. **ART UNIT FIL FEE REC'D** ATTY.DOCKET NO (c) DATE **DRAWINGS** TOT CLMS IND CLMS 10/566.480 09/08/2006 2161 765 31304 30 3

**CONFIRMATION NO. 5093** 

**FILING RECEIPT** 

\*OC000000021345674\*

Martin Moynihan Prtsi Inc PO Box 16446 Arlington, VA 22215

Date Mailed: 11/27/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Shimon Edelman, Ithaca, NY; David Horn, Tel Aviv, ISRAEL; Eytan Ruppin, Reut, ISRAEL; Tsach Solan, Tel-Aviv, ISRAEL;

#### Power of Attorney:

Martin Moynihan--40338

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IL04/00704 08/01/2004 which claims benefit of 60/491,235 07/31/03 cations and claims benefit of 60/510,553 10/14/03 Foreign Applications

If Required, Foreign Filing License Granted: 11/22/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/566,480** 

Projected Publication Date: 03/01/2007

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Method and apparatus for learning, recognizing and generalizing sequences

**Preliminary Class** 

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## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

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#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No. 31304

# **Declaration and Power of Attorney For Patent Application**

# **English Language Declaration**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# METHOD AND APPARATUS FOR LEARNING, RECOGNIZING AND GENERALIZING SEQUENCES the specification of which is attached hereto. V was filed on 1 August 2004 as United States Application No. or PCT International Application Number PCT/IL2004/000704 and was amended on I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of federal Regulations, Including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s) Priority Not Claimed (Number) (Country) (Day/Month/Year Filed) (Number) (Country) (Day/Month/Year Filed) (Number) (Country) (Day/Month/Year Filed)

60/510,553	14 October 2003
(Application Serial No.)	(Filing Date)
60/491,235	31 July 2003
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)
Section 365(c) of any PCT Internations insofar as the subject matter of ear United States or PCT International U.S.C. Section 112. I acknowledge	5 U.S.C. Section 120 of any United States application (states) and application designating the United States, listed below to of the claims of this application is not disclosed in the oplication in the manner provided by the first paragraph are duty to disclose to the United States Patent and Trades
Section 365(c) of any PCT Internations insofar as the subject matter of ear United States or PCT International U.S.C. Section 112. I acknowledge Office all the information known to Section 1.56 which became available or PCT International filing date of the	nal application designating the United States, listed below of the claims of this application is not disclosed in the oplication in the manner provided by the first paragraphic duty to disclose to the United States Patent and Trade e to be material to patentability as defined in Title 37, Cobetween the filing date of the prior application and the na application:  (Filing Date) (Status)
Section 365(c) of any PCT Internationsofar as the subject matter of ear United States or PCT International U.S.C. Section 112. I acknowledge Office all the information known to Section 1.56 which became available	nal application designating the United States, listed below of the claims of this application is not disclosed in the oplication in the manner provided by the first paragraphic duty to disclose to the United States Patent and Trade e to be material to patentability as defined in Title 37, Continuous the filing date of the prior application and the natapplication:
Section 365(c) of any PCT Internations insofar as the subject matter of ear United States or PCT International U.S.C. Section 112. I acknowledge Office all the information known to Section 1.56 which became available or PCT International filing date of the	nal application designating the United States, listed below of the claims of this application is not disclosed in the oplication in the manner provided by the first paragraphic duty to disclose to the United States Patent and Trade e to be material to patentability as defined in Title 37, Obetween the filing date of the prior application and the na application:  (Filing Date) (Status)

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Page 3 of 4	31304	
POWER OF ATTORNEY: A	s a named inventor, I hereby appoint the following attorney(s) ar	nd/or
agent(s) to prosecute this	application and transact all business in the Patent and Trademar	·k
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